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# Optical Disk Mastering Using Optical Super Resolution Effect

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## ABSTRACT

We propose a new laser lithography technique using the effect of thermal-induced super resolution and demonstrate that the technique can effectively reduce the exposed spot size on the photoresist layer, thus allowing disk mastering toward higher density by using existing light source and optics. A mask layer, whose nonlinear optical properties result in formation an aperture in high temperature area near to the center of the laser spot, is deposited on the top of the photoresist layer. Usually, the aperture size is much smaller than the laser spot, thus, achieving thermal-induced super resolution. The simulation and experimental results reveal that the line width on the photoresist layer could be shrunk by more than 40%.

KEYWORD: disk mastering, thermal-induced super resolution, lithography

## 1. INTRODUCTION

In the conventional laser lithography which is used to make optical master disks, the laser beam is directly illuminated on the surface of photoresist layer, so the resulted exposed area or spot size is limited by the optical diffraction limit. The spot size is approximated to  $0.82 \times \lambda/NA$ , where  $\lambda$  is the free space wavelength of light source and NA is the numerical aperture of the object lens. There are two main approaches to reduce the spot size: reducing  $\lambda$  and increasing NA. However, the sensitivity of photoresist material depends on the wavelength of light source. NA of the commercial used object lens is 0.9, and the maximum value of NA is 1 in air. Thus, the two schemes in reducing focused spot size are either with some complication or limited performance. The aim of this paper is to demonstrate that using the effect of thermal induced super resolution<sup>1,2)</sup> can effectively reduce the spot size on the photoresist layer, thus, allowing disk mastering toward higher density using existing light source and optics.

## 2. PRINCIPLE

Thermal-induced super resolution is caused by the nonlinear optical property of a metal film.<sup>1,2)</sup> By illuminating a metal film with a laser beam, the metal film will melt and the index of refraction of the melting region will yield nonlinear variation locally. Thus, the transmittance of the melting area may be higher than that of other region. Because the Gaussian intensity distribution of the laser beam, so does the temperature profile of the melting region on the metal film, thus melting region can be well controlled whose size can be much smaller than the focused laser spot size. The intensity distribution of the transmission light should become narrower than that of the incident light. A metal film of Indium is deposited on the photoresist layer, as shown in Fig. 1, the exposed area on the photoresist layer is reduced, thus, forming the finer definition of a line.

## 3. SIMULATION AND EXPERIMENT

Using Dill's exposure model<sup>3)</sup> and Mack's development model<sup>4)</sup>, the thermal-induced super resolution effect caused by the metal layer on a photoresist layer was modeled. In the simulation,  $\lambda$  is 442 nm and NA is 0.5, and metal layer used in this work is GeTeSb, a DVD-RAM recording layer. Experimentally, a line is laterally exposed by a laser-exposing machine on a specimen with the structure shown in Fig. 1. Before development, the metal layer must be removed by some etching solution. After development, the exposed region was removed and formed a linear groove. The experimental parameters are listed in Table 1.

## 4. SIMULATION RESULTS

The simulated results of exposure and development of mastering process without and with the metal layer are shown in Figs. 2 and 3, respectively. After exposure, the distributions of the PAC (photoactive compound) concentration are shown in

Fig. 2. The PAC concentration is normalized so that 1 and 0 represents unexposed and completely exposed, respectively. Figs. 2(a) and 2(b) are the 2D and 3D distributions of the PAC concentration without the metal layer. Owing to the Gaussian intensity distribution of the laser beam and the standing wave effect, the distributions of the PAC concentration in the  $r$  and  $z$  direction are a Gaussian distribution and sinusoidal profile, respectively. With the metal layer, the 2D and 3D distributions shown in Figs. 2(c) and 2(d) reveal that the standing wave effect still exists in the  $z$  direction, but the Gaussian distribution in the  $r$  direction is distorted by the thermal-induced super resolution. After development, the cross-sectional views of the photoresist layer are shown in Fig. 3(a) without, Fig. 3(b) with 5 nm, and Fig. 3(c) with 10 nm metal layer, respectively. The black regions denote the residue photoresists. To simplify the description, we define the space at the bottom and the half depth of the groove as  $W_{\text{interface}}$  and linewidth, respectively, as shown in Fig. 4. As shown in Fig. 3(a),  $W_{\text{interface}}$  is about 2.1 nm without the metal layer, and the angle of the slope at the edge of the groove is about  $30^\circ$ . According to the simulation, 5 and 10 nm metal layers can form an aperture of the diameter of  $0.7 \times \text{spotsize}$  and  $0.5 \times \text{spotsize}$  respectively. The results imply that the metal layer yields optical super resolution effect. Consequently, as the thickness of mask layer increases from 0 to 10 nm,  $W_{\text{interface}}$  shrinks from 2.1 to 1.3  $\mu\text{m}$  with the same angle at the edge of the groove, as shown in Figs. 4(b) and (c). As the angle does not vary with the shrinkage of  $W_{\text{interface}}$ , the linewidth will decrease with  $W_{\text{interface}}$ . The experiment results demonstrate that thermal-induced super resolution effect can effectively shrink the linewidth of the groove.

However, it is very difficult to measure the size of aperture in real-time, so we examined influences of metal layer thickness and aperture size on the profile of photoresist layer in order to compare with the experimental results. The relations among the metal layer thickness, aperture size,  $W_{\text{interface}}$ , and the angle of the edge are depicted in Fig. 5. The three dashed lines represent the results of completely super resolution effect to result in the shrinkage of the  $W_{\text{interface}}$  with same angle. The solid lines (a) and (b) represent the influences of the metal layer thickness and aperture size on the profile of the photoresist layer, respectively. From solid line (a), it is found that the decreasing of  $W_{\text{interface}}$  mainly arises from the increasing of metal layer thickness. On the contrary, when the thickness of the metal layer is fixed, the variation of the aperture size can only result in altering the angle of the edge, as shown in solid line (b), implying that the optical super resolution is the combination of absorption effect, and shading effect.

## 5. EXPERIMENTAL RESULTS

Using the structure shown in Fig. 2, the shrinkage of the linewidth can be observed easily. In Fig. 6, the linewidth shrinks from 2.5  $\mu\text{m}$ , which is the limit of exposure machine, to 1.4  $\mu\text{m}$  as the thickness of Indium film increases from 0 to about 40 nm. To make sure the effect is caused by the super resolution, we further increase the thickness of Indium film. When the thickness is more than 40 nm, the linewidth does not shrink, but broaden, which may be caused mainly by absorption effect, as shown in Fig. 7 as the Indium film is too thick to be melted by laser beam. When laser beam passes through Indium film, the absorption effect no longer shrinks the spot size as optical super resolution. Experimental results demonstrate that the linewidth can only be reduced by the optical super resolution effect.

We then used SEM to make more detail observations on the microstructures of the groove. The top view is shown in Fig 8, where (a) without, (b) with 10 nm, and (c) with 30 nm Indium film, respectively. Most notably, the fluctuation of line edges gradually increase from 100 to 300 nm with the shrinkage of linewidth. Because the linewidth depends on the thickness of Indium film, the fluctuation must be influenced by the thickness of Indium film. As the thickness of Indium film increases from 15 to 36 nm, the grain size of the film will rapidly grow from 20 to about 100 nm, as shown in Fig. 9. In melting state, the larger the grain of film is, the rougher the edge of melting region is, as shown in Fig. 10. The sharpness of line is mainly determined by the grain size of Indium film.

In the previous simulation results, we used the cross-sectional profile of phototresist layer to observe the effect of super resolution. To compare with the results, we also used SEM to observe the cross-sectional profile of phototresist layer, as shown in Fig. 11. When  $W_{\text{interface}}$  decreases from 2.1 to 1.7  $\mu\text{m}$  caused by the increase of the Indium film, the angle of the groove edge is almost the same. However, as  $W_{\text{interface}}$  decreases from 1.7 to 1.368  $\mu\text{m}$ , the angle of the groove edge changes from  $33^\circ$  to  $25^\circ$ . From the simulation results shown in the curve c of Fig 3, we can extrapolate that the shrinkage of the linewidth is due to the absorption effect caused by the increase of Indium film and the change of the angle is the consequence of failing to form an aperture in the thick Indium film.

## 6. Conclusion

The effect of thermal-induced super resolution was applied the disk mastering process to greatly decrease the exposed area of photoresist using the existing optics. From simulation and experiment, the linewidth more than 40% less

than the diffraction limits defined by the laser-exposing machine limit was demonstrated. Further reductions of linewidths are feasible when shorter wavelength light source and higher NA optics are used in mastering system.

## 7. Reference

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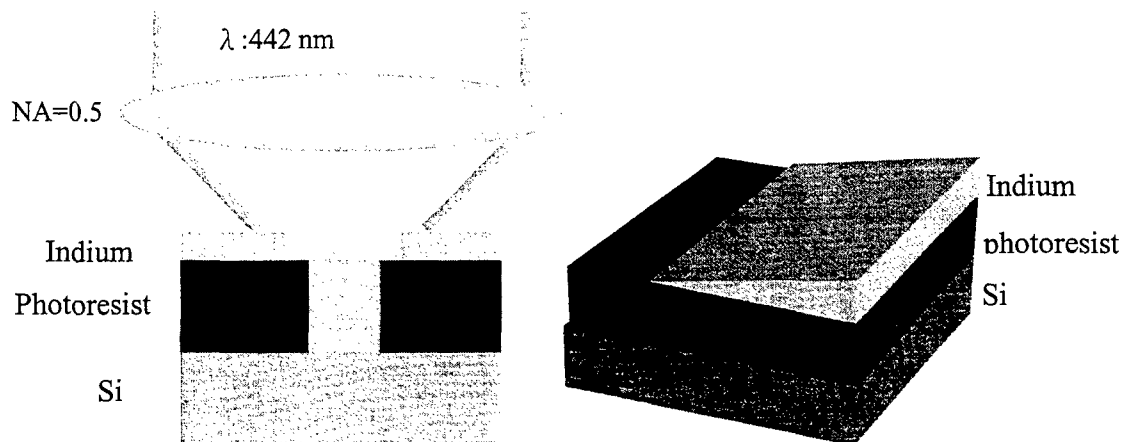


Fig. 1. A metal layer (Indium) is deposited on the photoreisit layer

Table 1. The experimental parameters

Materiel of the mask layer	Indium
Thickness of the mask layer (nm)	0~30
Photoresist	AZ 6112
thickness of the photoresist layer (nm)	150-350
development solution	AZ 300
etching solution	HF:H <sub>2</sub> O <sub>2</sub> :H <sub>2</sub> O=4:1:1
Evaporation Condition	
Pressure (torr)	10 <sup>-5</sup>
Current (A)	125
Exposure Condition	
Wavelength (nm)	442
NA	0.5

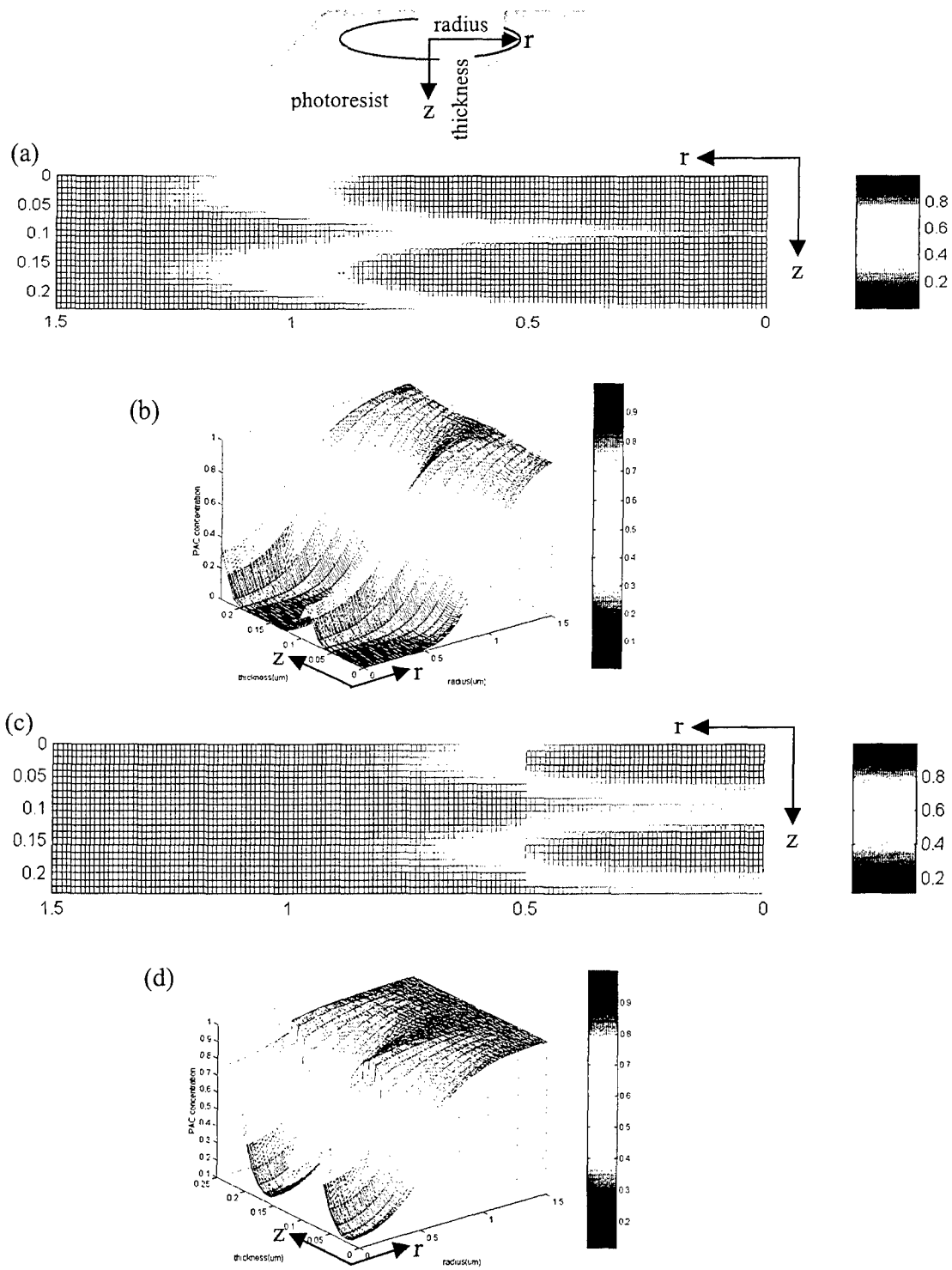


Fig. 2 After exposure, the distribution of PAC concentration on the photoresist layer. (a) 、 (b) without the mask layer, (c) 、 (d) with the mask layer

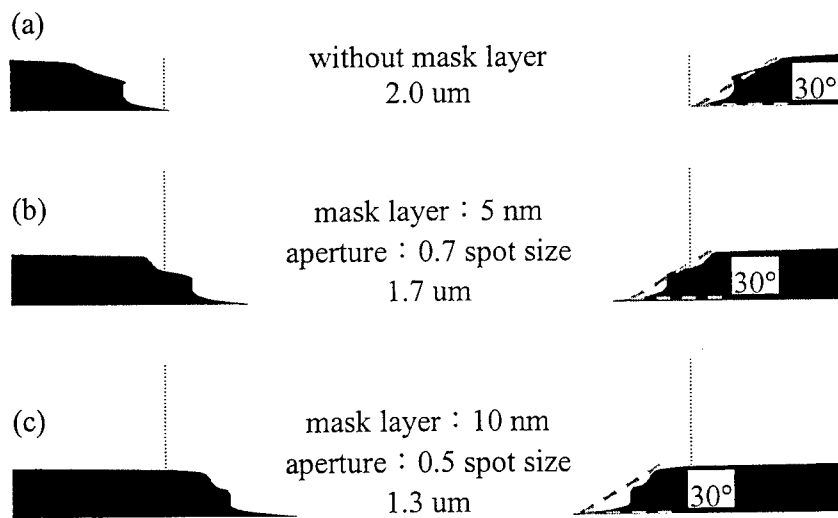


Fig. 3 After development, the profile of photoresist layer. (a) without, (b) with 5 nm, and (c) with 10 nm mask layer

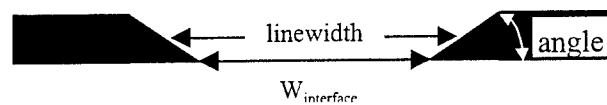


Fig. 4 Definition of  $W_{\text{interface}}$ , linewidth, and angle

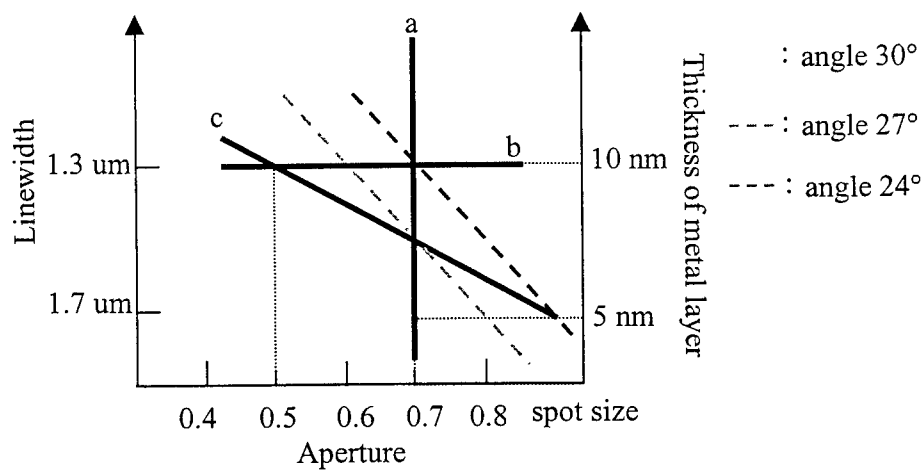


Fig. 5 Relationship among the metal layer thickness, aperture size,  $W_{\text{interface}}$  and the angle of the edge

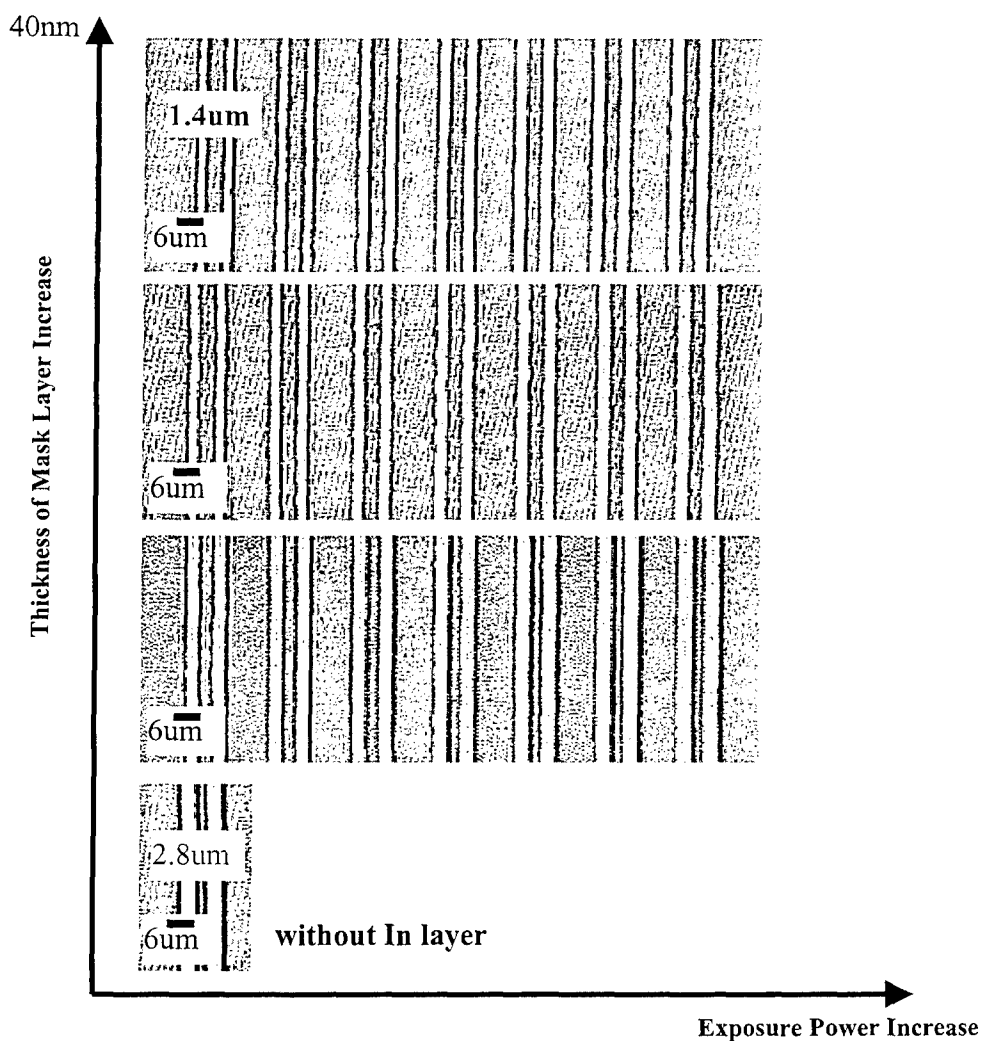


Fig. 6 Linwidth as functions of the thickness of Indium film and different exposure power

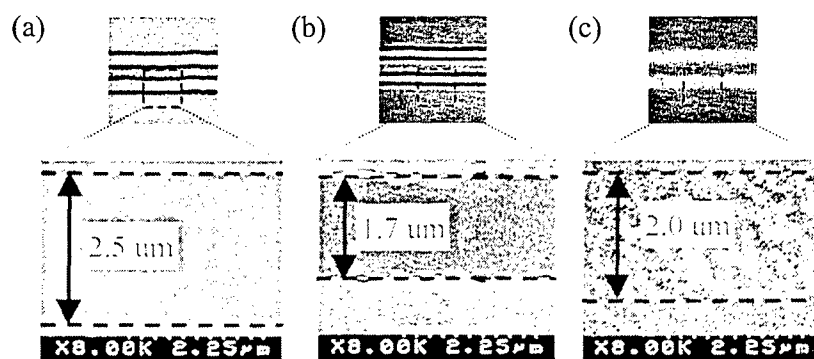


Fig. 7 The difference between super resolution effect and absorption effect. (a) without the Indium film, (b) result of super resolution, and (c) result of absorption effect

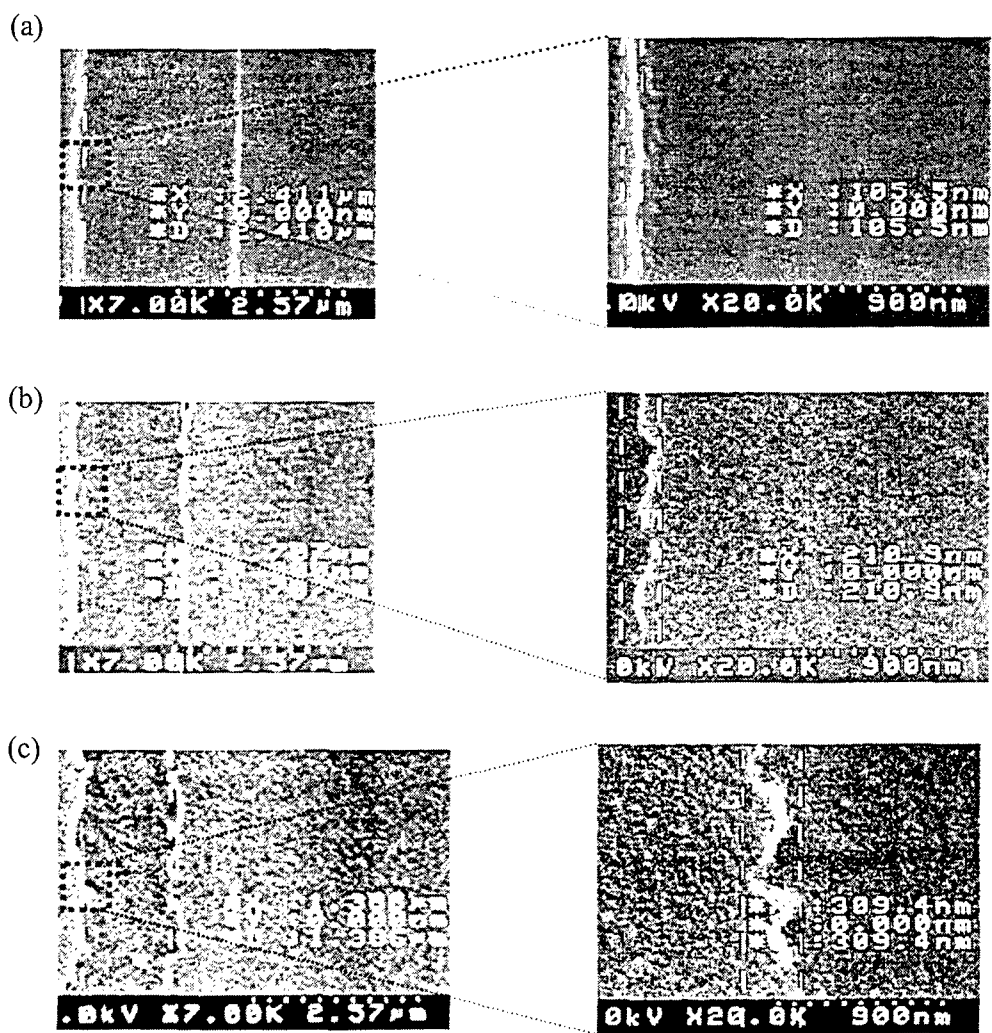


Fig. 8 The fluctuations of the line edge. (a) Without, (b) with 7nm, and (c) 30nm Indium film

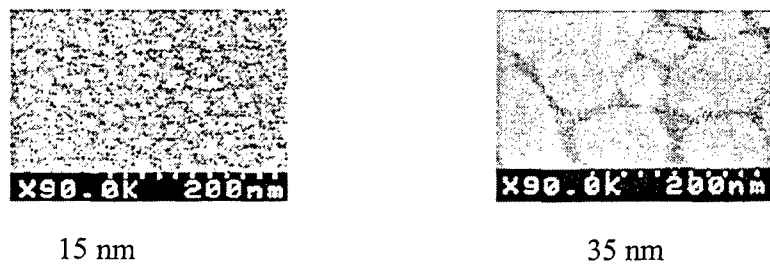


Fig. 9 SEM micrographs showing different grain size of the Indium films of different thickness

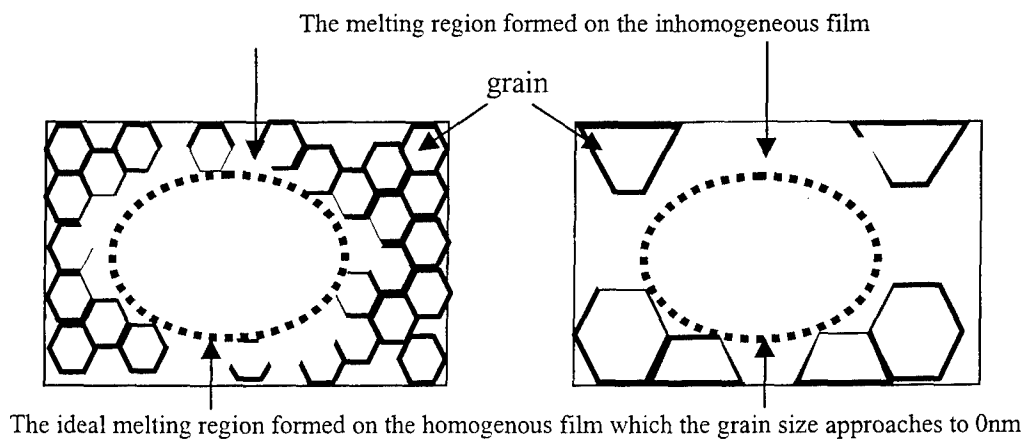


Fig. 10 The influence of grain size on the area of melting region

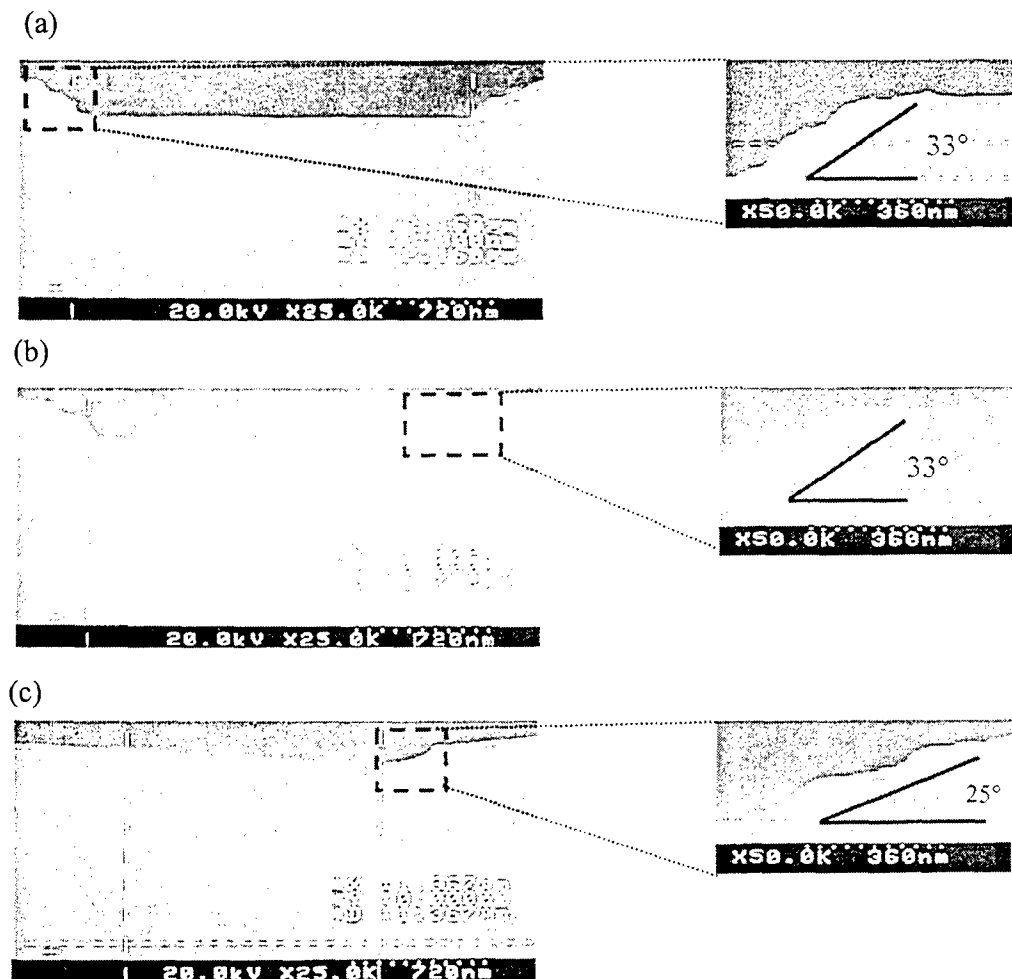


Fig. 11 After development, the cross section of photoresist layer. (a) without, (b) with 7 nm, and (c) with 30 nm Indium film